



1P1  
S AF  
2177  
Ce

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

William D. NORCOTT

Application Serial No.: 09/863,419

Examiner: Ali, Mohammad

Filing Date: May 24, 2001

Group Art Unit: 2177

Attorney Docket No.: 50277-1005

Client Docket No.: OID-2001-003-01

For: ASYNCHRONOUS CHANGE CAPTURE FOR DATA WAREHOUSING  
DIRECTOR OF PATENTS AND TRADEMARKS  
Alexandria, VA 22313-1450

**NOTICE OF APPEAL**

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the decision of the Examiner dated March 19, 2004, twice and/or finally rejecting the following claims: 1 and 3-10.

- The Fee for this Notice of Appeal (37 CFR § 1.17(b)) is \$330.00.
- Petition is hereby made under 37 CFR § 1.136(a) to extend the time for response to the Office Action of March 19, 2004 to and through July 19, 2004, for an extension of:  
 1 month (\$110)     2 months (\$420)  
 3 months (\$950)     4 months (\$1480)      Total: \$110.00.
- Applicant claims small entity status. See 37 CFR § 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: \$\_\_\_\_\_.
- Payment of \$ 440.00 by Credit Card. Form PTO-2038 is attached.
- The Director is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account \_\_\_\_\_, including any filing fees under 37 CFR § 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR § 1.17

Respectfully submitted,

DITTHAVONG & CARLSON, P.C.

Stephen C. Carlson  
Reg. No. 39929

330.00 OP  
110.00

I hereby certify that this correspondence and all correspondence identified as accompanying this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 19, 2004.

Linda V. Wiley

Date: July 19, 2004

Date: July 19, 2004

07/21/2004 S2EWDIE1 00000149 09863419

01 FC:1401  
02 FC:1251



# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 440.00)

## Complete if Known

Application Number	09/863,419
Filing Date	May 24, 2001
First Named Inventor	Norcott
Examiner Name	Ali, Mohammad
Art Unit	2177
Attorney Docket No.	50277-1005

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None Deposit Account

Deposit Account Number	<input type="text"/>
Deposit Account Name	<input type="text"/>

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	<input type="text"/>
1002 340	2002 170	Design filing fee	<input type="text"/>
1003 530	2003 265	Plant filing fee	<input type="text"/>
1004 770	2004 385	Reissue filing fee	<input type="text"/>
1005 160	2005 80	Provisional filing fee	<input type="text"/>

## SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	<input type="text"/>	-20**=	<input type="text"/>	X	<input type="text"/>	=	<input type="text"/>	Fee from below	<input type="text"/>	Fee Paid
Independent Claims	<input type="text"/>	- 3**=	<input type="text"/>	X	<input type="text"/>	=	<input type="text"/>			
Multiple Dependent	<input type="text"/>		<input type="text"/>		<input type="text"/>	=	<input type="text"/>			

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	**Reissue independent claims over original patent
1205 18	2205 9	**Reissue claims in excess of 20 and over original patent

## SUBTOTAL (2) (\$)

\* or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge – late filing fee or oath	<input type="text"/>
1052 50	2052 25	Surcharge – late provisional filing fee or cover sheet	<input type="text"/>
1053 130	1053	Non-English specification	<input type="text"/>
1812 2,520	1812	For filing a request for ex parte reexamination	<input type="text"/>
1804 920*	1804	Requesting publication of SIR prior to Examiner action	<input type="text"/>
1805 1,840*	1805	Requesting publication of SIR after Examiner action	<input type="text"/>
1251 110	2251 55	Extension for reply within first month	110.00
1252 420	2252 210	Extension for reply within second month	<input type="text"/>
1253 950	2253 475	Extension for reply within third month	<input type="text"/>
1254 1,480	2254 740	Extension for reply within fourth month	<input type="text"/>
1255 2,010	2255 1,005	Extension for reply within fifth month	<input type="text"/>
1401 330	2401 165	Notice of Appeal	330.00
1402 330	2402 165	Filing a brief in support of an appeal	<input type="text"/>
1403 290	2403 145	Request for oral hearing	<input type="text"/>
1451 1,510	1451	Petition to institute a public use proceeding	<input type="text"/>
1452 110	2452 55	Petition to revive – unavoidable	<input type="text"/>
1453 1,330	2453 665	Petition to revive – unintentional	<input type="text"/>
1501 1,330	2501 665	Utility issue fee (or reissue)	<input type="text"/>
1502 480	2502 240	Design issue fee	<input type="text"/>
1503 640	2503 320	Plant issue fee	<input type="text"/>
1460 130	1460	Petitions to the Commissioner	<input type="text"/>
1807 50	1807	Processing fee under 37 CFR 1.17(q)	<input type="text"/>
1806 180	1806	Submission of Information Disclosure Stmt	<input type="text"/>
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	<input type="text"/>
1809 770	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>
1810 770	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>
1801 770	2801 385	Request for Continued Examination (RCE)	<input type="text"/>
1802 900	1802	Request for expedited examination of a design application	<input type="text"/>

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 440.00)

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Stephen Carlson	Registration No. (Attorney/Agent)	39929	Telephone 703-425-8516
Signature	July 19, 2004			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.